

# **Student Assessment Appeals Policy and Procedure**

## **QUA2**

<b>Issue</b>	<b>Date of 1<sup>st</sup> Issue</b>	<b>Last Reviewed</b>	<b>Date of Next Review</b>	<b>Responsibility of</b>
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This document can also be produced in alternative formats upon request.

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**Please also refer to:**

Supplement 1 – Student Assessment Appeals Process Chart

Supplement 2 – Student Assessment Appeals Form

# Student Assessment Appeals Policy and Procedure

**Please note that the College's policy and procedure for Student Assessment Appeals may need to be reviewed and alternative processes put in place as a result of unprecedented circumstances. In such cases, the College will follow national, regulatory and Awarding Organisation guidance. The College will inform students of these alternative procedures through the normal College channels.**

## 1. Purpose

At the start of a programme of study, students must be made aware that any results provided to them before certification by the Awarding Organisation, should be considered as provisional. The aim of this document is to ensure a fair and consistent procedure is available to students who have grounds to appeal a final assessment result for work which has been marked internally i.e. for work which has been marked by College staff.

If a student is unhappy with their progress and/or assessments **before** a final grade/mark is awarded, they should discuss their concerns with their Lecturer, Assessor or Course Coordinator.

If a student is aware of extenuating circumstances **during** an assessment i.e. events or situations out of their control which may adversely affect their academic performance; the student should discuss these with their Lecturer, Assessor or Course Coordinator. The College has an [Extenuating Circumstances Policy](#); this is accessible on the Student Hub and may be referred to, and used, at any time during the duration of a course.

If a student is unhappy with the content or delivery of their course, or any other aspect of the College's services, the student should refer to the College's [Customer Complaints Policy](#). This is accessible on the Student Hub.

## 2. Scope

This policy and procedure is for all students studying at Northern Regional College who wish to **appeal a final grade or mark for work which has been marked internally** i.e. by College staff. **Please note** that for work assessed between 20<sup>th</sup> March and 31<sup>st</sup> July 2020, 'centre assessment grades' (CAGs) were decided by the College where permitted by an Awarding Organisation. Further information about how to appeal CAGs is contained in the 'Complaints and Assessment Appeals Summer 2020' document.

Students who wish to appeal a grade/mark for work marked externally i.e. work marked by the Awarding Organisation, should contact the Awarding Organisation directly.

Please note that private candidates should also contact the Awarding Organisation directly with any issues or concerns regarding their grades or marks.

### **3. Responsibilities**

#### **3.1 Student**

If a student is unhappy with his/her assessment or progress during the course, it is the student's responsibility to discuss this directly with the Lecturer, Assessor or Course Coordinator as soon as possible. For work marked internally, if on receipt of a final grade/mark, a student is unhappy with the result, he/she may complete an Appeals Form (LAF) and pass this to their Course Coordinator within 10 working days of receiving the grade/mark. The student should keep a copy of the LAF and any other documentation submitted to support their Appeal.

#### **3.2. Lecturer/Assessor**

The Lecturer/Assessor has the responsibility to consider the student's concerns about their progress or assessments, and give feedback to the student, within the rules and regulations provided by the Awarding Organisation.

#### **3.3. Course Coordinator**

The Course Coordinator has the responsibility to consider the student's and Lecturer/Assessor's concerns about the student's progress or assessments, and action accordingly. On receipt of a student's Appeals Form (LAF), the Course Coordinator must immediately notify the Quality Manager and his/her Curriculum Area Manager (CAM).

#### **3.4. Curriculum Area Manager**

The Curriculum Area Manager has responsibility to acknowledge receipt of the student's LAF and set up an Appeals Panel within 5 working days of receipt of the LAF. The Appeals Panel should be scheduled to meet within 5 working days of the date of the acknowledgement being sent to the student.

#### **3.5. Assessment Appeals Panel**

The Assessment Appeals Panel has responsibility to:

- 3.5.1. consider the LAF and any evidence presented by the student;
- 3.5.2. if required, interview the staff and student involved with the Appeal as part of their decision-making process;
- 3.5.3. record/minute their meeting and record the outcome on the LAF;
- 3.5.4. the Chair of the Panel, normally a Curriculum Area Manager, is responsible for communicating the decision of the Panel to the student within 5 working days;
- 3.5.5. if the Appeal is upheld due to a procedural error or irregularity, the Chair of the Panel is also responsible for contacting the applicable staff associated with the procedural irregularity/ error.

## 4. Assessment Appeals Process

Before being provided to students, all final grades/marks for internally marked work must be formally confirmed at an Exam Board meeting. Even after an Exam Board, students MUST be informed that grades/marks are provisional until officially certified by the Awarding Organisation.

- 4.1. If a student wishes to appeal a final assessment grade/mark which has been decided at an Exam Board, the student can only make an appeal if there is evidence of:
  - 4.1.1. a procedural error/irregularity; or
  - 4.1.2. extenuating circumstances that were not known at the time of the Exam Board.
- 4.2. If a student is appealing due to 4.1.2. above, the [Extenuating Circumstances Policy](#) must be consulted to ensure the student is aware of what constitutes an 'extenuating circumstance'.
- 4.3. Academic judgements of lecturers cannot be appealed and will not be considered within an Appeal.
- 4.4. If the circumstances outlined at 4.1. above apply, within 10 working days of receiving the final grade/mark, the student should:
  - complete an Appeals Form (LAF); and
  - submit it with any evidence to the Course Coordinator.Note: Students submitting an Appeal should keep a copy of their LAF and any evidence submitted.
- 4.5. On receipt, the Course Coordinator must immediately forward the student's Appeal to:
  - 4.5.1. the Quality Manager; and
  - 4.5.2. their Curriculum Area Manager.
- 4.6. The Curriculum Area Manager must:
  - 4.6.1. Acknowledge the Appeal within 5 working days.
  - 4.6.2. Set up an Assessment Appeals Panel within 5 working days of the acknowledgement being sent (see Section 5).

## 5. The College Assessment Appeals Panel

- 5.1. The Curriculum Area Manager normally acts as Chair of the Panel and will appoint two members of staff who have not been directly involved in the decision making process for the final grade/mark. Staff selected for the Panel may be from support and/or curriculum departments.
- 5.2. The role of the Panel will be to consider the student's Appeals Form (LAF) and:
  - 5.2.1. consider new evidence associated with extenuating circumstances, and/or
  - 5.2.2. investigate all evidence/allegations of procedural error/irregularity.
- 5.3. Panel members may request to interview staff directly involved with the decision making process.
- 5.4. A student is not entitled to be heard in person, although the Panel may request to

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- interview the student making the Appeal.
- 5.5. The Panel will not make academic judgements regarding a piece of work i.e. they will not remark work nor award new grades/marks.
  - 5.6. The Panel will decide if the Appeal is upheld or not upheld.
  - 5.7. If the student's Appeal is **upheld**, the student will be permitted to complete, take, or repeat the assessment.
  - 5.8. If the student's Appeal is **not upheld**, the final grade/mark will stand, and the College will not prejudice any further participation in the course of study.
  - 5.9. Appeals may not be upheld if:
    - 5.9.1. there are no grounds for an Appeal;
    - 5.9.2. the student has not completed all sections on the LAF;
    - 5.9.3. it is determined that there is no new information regarding extenuating circumstances;
    - 5.9.4. there is no independent evidence to support extenuating circumstances;
    - 5.9.5. the student has not provided a satisfactory reason/explanation for the failure to supply the information to date;
    - 5.9.6. the Appeal is late with no acceptable reason.
  - 5.10. The decision of the Panel will be final and no further appeals will be permitted.
  - 5.11. The decision of the Panel must be communicated to the student by the Chair within 5 working days.

## **6. Communication during the College's Appeal Process**

- 6.1. Appeals will not be considered where a parent/guardian submits an assessment appeal for a student who is over the age of consent.
- 6.2. Students should be aware that while privacy and confidentiality will be maintained, evidence and information submitted will normally be made available to the Assessment Appeals Panel and may also be held on the student's records for the duration of the course.
- 6.3. An Appeal submitted by a student may contain sensitive information; hence staff dealing with Appeals should be sensitive and professional and consider the details as confidential.
- 6.4. Information related to the student's Appeal should not be communicated via e-mail; a shared folder should be developed by the Curriculum Area Manager and shared appropriately with Panel members. Access to the shared folder should be withdrawn on conclusion of the Appeals process.
- 6.5. It is the student's responsibility that the Appeals Form (LAF) is completed in full and that any evidence to support the appeal is provided. Appeals may be rejected if full information is not provided.
- 6.6. A student may be asked to attend an interview regarding their Appeal. A student cannot ask someone else to represent them.
- 6.7. If a student is called to interview, they may choose to be accompanied. The person accompanying the student must be a member of the College, and must not be related to the student.
- 6.8. If a student is called to interview by the Panel, it is the student's responsibility to make

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- sure he/she and any accompanying person make arrangements to attend.
- 6.9. If a student is unable to attend a Panel interview on the date scheduled, the Panel will try to accommodate an alternative date.
  - 6.10. The Panel will not consider student appeals which are deemed to be vexatious or frivolous.
  - 6.11. If the Panel uphold the Appeal due to a procedural error, the Chair of the Panel must discuss the matter with the staff involved in the decision making process.
  - 6.12. The outcome of the Appeal will be communicated to the student by the Chair of the Panel within 5 working days of the Panel meeting.
  - 6.13. On exhaustion of the College's internal Appeals process, it is the student's responsibility to pursue any further action with the relevant Awarding Organisation. Details of the Awarding Organisation may be obtained from the College's Exams Office, or on the Awarding Organisation's website.
  - 6.14. Students may also contact the Northern Ireland Public Services Ombudsman (NIPSO) after internal College processes have been exhausted.

## 7. Quality Assurance

Comments and complaints regarding this document will be handled through the College's comments and complaints mechanisms. If you have a comment or complaint, or require further information regarding the process, please e-mail [quality.improvement@nrc.ac.uk](mailto:quality.improvement@nrc.ac.uk).

This document will be monitored on an ongoing basis and subject to a full review at least every two years. It may also be updated if changes or improvements in processes or procedures are identified.

In monitoring and reviewing the document, the following may be taken into consideration:

- feedback regarding the content and format of the document;
- uptake and usage;
- comments or complaints regarding the document;
- Equality information and monitoring data.

## 8. Links to other Documents

### Internal Documents:

[Complaints Policy](#)

[Extenuating Circumstances for Students](#)

### Supplements

Supplement 1 – Assessment Appeals Process Charts

Supplement 2 – Student Assessment Appeals Form

### External Documents

Individual Awarding Body Appeals Information

[Quality Assurance Agency-Quality Code- Advice and Guidance for Concerns, Complaints and Appeals](#)

## 9. Appendix 1: Assessment Appeals Panel Outcome Letter

NRC Ref NRC/LA/ [student's initials & date]

Student's Name  
Student's Address  
Student's Address  
Student's Address

Today's Date,

Dear [Student],

### **RE: Student Assessment Appeals Panel Outcome**

This letter aims to inform you of the outcome of your Assessment Appeal submitted by you on [first date on Appeals Form].

After the Assessment Appeals Panel reviewed your Appeal, the outcome is that it has [been upheld/not been upheld]

This outcome has been arrived at due to the following reasons:

-

If you are unhappy with this decision you may contact the Awarding Organisation. The College's Examinations Office will be able provide you with contact details. You also have the right to contact the Northern Ireland Public Services Ombudsman (NIPSO). Information about NIPSO is accessible at [www.nipso.org.uk](http://www.nipso.org.uk).

Yours sincerely,

[Staff Name] [Staff Title]

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